

PTO/SB/96 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: **Jean-Baptiste Dumas Milne Edwards, et al.**

Application No./Patent No.: **09/731,872** Filed/Issue Date: **12/07/2000**

Entitled: **Full-Length cDNAs Encoding Potentially Secreted Proteins**

Genset, a **corporation**,
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

- the assignee of the entire right, title, and interest; or
- an assignee of less than the entire right, title and interest.
The extent (by, percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

A. An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

B. A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

Additional documents in the chain of title are listed on a supplemental sheet.

Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

5 Sept 01
Date

John Lucas, Ph.D., J.D.

Typed or printed name



Signature

Director, Intellectual Property

Title



Please type or draw a TRADE MARK inside this box →

十一

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**DECLARATION FOR UTILITY OR
DESIGN
PATENT APPLICATION
(37 CFR 1.63)**

Declaration Submitted with Initial Filing OR Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

Attorney Docket Num	78.US3.REG
First Named Inventor	Dumas Milne Edwards, et al
<u>COMPLETE IF KNOWN</u>	
Application Number	09 / 731,872
Filing Date	December 7, 2000
Group Art Unit	1645
Examiner Name	Unassigned

As a below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Full-Length Human cDNAs Encoding Potentially Secreted Proteins

the specification of which

(Title of the Invention)

is attached hereto

OB

CR was filed on (MM/DD/YYYY) 12/07/2000 as United States Application Number or PCT International

Application Number 09/731,872 and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached? YES	Certified Copy Attached? NO
			<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.
60/169,629	12/08/1999	
60/187,470	03/06/2000	

Please type a plus sign (+) inside this box → +

PTO/SB/01 (10-00)

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DECLARATION — Utility or Design Patent Application

Direct all correspondence to:		<input checked="" type="checkbox"/> Customer Number or Bar Code Label	000027206	OR	<input checked="" type="checkbox"/> Correspondence address below
Name	John Lucas, Ph.D., J.D.				
Address	Genset Corporation				
Address	10665 Sorrento Valley Road				
City	San Diego	State	CA	ZIP	92121-1609
Country	USA	Telephone	858/597-2600	Fax	858/597-2601
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.					
NAME OF SOLE OR FIRST INVENTOR :		<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])		Family Name Dumas Milne Edwards or Surname			
Inventor's Signature				12 Aug 2001 Date	
Residence: City	Paris	State	Country	France	Citizenship French
Mailing Address	8, rue Gregoire de Tours				
Mailing Address					
City	Paris	State	ZIP	75006	Country France
NAME OF SECOND INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])		Family Name Bougueret or Surname			
Inventor's Signature				Date	
Residence: City	Petit Lancy	State	Country	Switzerland	Citizenship French
Mailing Address	22, Vieux Chemin d'Onex				
Mailing Address					
City	Petit Lancy	State	ZIP	1213	Country Switzerland
<input checked="" type="checkbox"/> Additional inventors are being named on the <u>1</u> supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.					



Please type a plus sign (+) in the plus box → +

PTO/SB/02A (11-00)

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DECLARATION

ADDITIONAL INVENTOR(S) Supplemental Sheet Page ___ of ___

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Sumame	
Severin		Jobert	
Inventor's Signature		Date	
Residence: City	Paris	State	Country France Citizenship French
Mailing Address 7, impasse Tourneux			
Mailing Address			
City Paris	State	ZIP 75012	Country France
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Sumame	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Mailing Address			
Mailing Address			
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Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Mailing Address			
Mailing Address			
City	State	ZIP	Country

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Filing Date	December 7, 2000
Group Art Unit	1645
Examiner Name	Unassigned

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Full-Length Human cDNAs Encoding Potentially Secreted Proteins

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[Page 1 of 2]

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DECLARATION — Utility or Design Patent Application

Direct all correspondence to:		<input checked="" type="checkbox"/> Customer Number or Bar Code Label	000027206	OR <input checked="" type="checkbox"/> Correspondence address below
Name	John Lucas, Ph.D., J.D.			
Address	Genset Corporation			
Address	10665 Sorrento Valley Road			
City	San Diego	State	CA	ZIP 92121-1609
Country	USA	Telephone	858/597-2600	Fax 858/597-2601
<p>I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.</p>				
NAME OF SOLE OR FIRST INVENTOR :		<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name (first and middle [if any])		Family Name Dumas Milne Edwards or Surname		
Inventor's Signature		Date		
Residence: City	Paris	State	Country France	Citizenship French
Mailing Address	8, rue Gregoire de Tours			
Mailing Address				
City	Paris	State	ZIP 75006	Country France
NAME OF SECOND INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name (first and middle [if any])		Family Name Bougueleret or Surname		
Inventor's Signature				17 - 07.01 Date
Residence: City	Petit Lancy	State	Country Switzerland	Citizenship French
Mailing Address	22, Vieux Chemin d'Onex			
Mailing Address				
City	Petit Lancy	State	ZIP 1213	Country Switzerland
<input checked="" type="checkbox"/> Additional inventors are being named on the <u>1</u> supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.				



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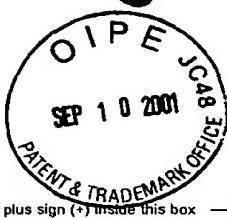
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ADDITIONAL INVENTOR(S)
Supplemental Sheet
Page _____ of _____

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Severin		Jobert	
Inventor's Signature			Date
Residence: City	Paris	State	Country France Citizenship French
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Mailing Address			
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First Named Inventor	Dumas Milne Edwards, et al
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Application Number	09 / 731,872
Filing Date	December 7, 2000
Group Art Unit	1645
Examiner Name	Unassigned

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(Title of the Invention)

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[Page 1 of 2]

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DECLARATION — Utility or Design Patent Application

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Name	John Lucas, Ph.D., J.D.				
Address	Genset Corporation				
Address	10665 Sorrento Valley Road				
City	San Diego	State	CA	ZIP	92121-1609
Country	USA	Telephone	858/597-2600	Fax	858/597-2601
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.					
NAME OF SOLE OR FIRST INVENTOR :		<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])		Family Name Dumas Milne Edwards or Surname			
Inventor's Signature		Date			
Residence: City	Paris	State	Country	France	Citizenship French
Mailing Address	8, rue Gregoire de Tours				
Mailing Address					
City	Paris	State	ZIP	75006	Country France
NAME OF SECOND INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])		Family Name Bougueleret or Surname			
Inventor's Signature		Date			
Residence: City	Petit Lancy	State	Country	Switzerland	Citizenship French
Mailing Address	22, Vieux Chemin d'Onex				
Mailing Address					
City	Petit Lancy	State	ZIP	1213	Country Switzerland
<input checked="" type="checkbox"/> Additional inventors are being named on the <u>1</u> supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.					



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PTO/SB/02A (11-00)

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DECLARATION

ADDITIONAL INVENTOR(S) Supplemental Sheet Page _____ of _____

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Severin		Jobert	
Inventor's Signature	<i>S. Jobert</i>		
Date	18/06/2001		
Residence: City	Paris	State	Country France
Citizenship French			
Mailing Address 7, impasse Tourneux			
Mailing Address			
City Paris	State	ZIP 75012	Country France
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Inventor's Signature			
Date			
Residence: City	State	Country	Citizenship
Mailing Address			
Mailing Address			
City	State	ZIP	Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
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Inventor's Signature			
Date			
Residence: City	State	Country	Citizenship
Mailing Address			
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City	State	ZIP	Country

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Please type a plus sign (+) inside this box → [+]

PTO/SB/81 (10-00)

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POWER OF ATTORNEY OR AUTHORIZATION OF AGENT

Application Number	09/731,872
Filing Date	December 7, 2000
First Named Inventor	DUMAS MILNE EDWARD, et al.
Group Art Unit	1645
Examiner Name	Unassigned
Attorney Docket Number	78.US3.REG

I hereby appoint:

Practitioners at Customer Number
OR

Practitioner(s) named below:

000027206

Place Customer Number Bar Code Label here

Name	Registration Number
John M. Lucas	43,373
Peter Follette	46,213
Lukas R. Voellmy	43,358

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please change the correspondence address for the above-identified application to:

The above-mentioned Customer Number.

OR

<input checked="" type="checkbox"/> Firm or Individual Name	John Lucas, Ph.D., J.D.			
Address	Genset Corporation			
Address	10665 Sorrento Valley Road			
City	San Diego	State	CA	Zip 92121-1609
Country	USA			
Telephone	(858) 597-2600	Fax	(848) 597-2601	

I am the:

Applicant/Inventor.

Assignee of record of the entire interest. See 37 CFR 3.71.

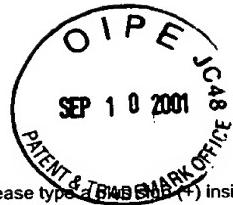
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

Name	Jean-Baptiste Dumas Milne Edwards
Signature	
Date	12 Aug 2001

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

*Total of forms are submitted.



Please type a BSB SBP (+) inside this box → +

PTO/SB/81 (10-00)

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<input checked="" type="checkbox"/> Firm or Individual Name	John Lucas, Ph.D., J.D.				
Address	Genset Corporation				
Address	10665 Sorrento Valley Road				
City	San Diego	State	CA	Zip	92121-1609
Country	USA				
Telephone	(858) 597-2600	Fax	(848) 597-2601		

I am the:

Applicant/Inventor.

Assignee of record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

Name	Lydie Bougueret
Signature	
Date	17-07-01

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

Total of _____ forms are submitted.



Please type a plus sign (+) in this box



PTO/SB/81 (10-00)

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POWER OF ATTORNEY OR AUTHORIZATION OF AGENT

Application Number	09/731,872
Filing Date	December 7, 2000
First Named Inventor	DUMAS MILNE EDWARD, et al.
Group Art Unit	1645
Examiner Name	Unassigned
Attorney Docket Number	78.US3.REG

I hereby appoint:

Practitioners at Customer Number

000027206

Place Customer
Number Bar Code
Label here

OR

Practitioner(s) named below:

Name	Registration Number
John M. Lucas	43,373
Peter Follette	46,213
Lukas R. Voellmy	43,358

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please change the correspondence address for the above-identified application to:

The above-mentioned Customer Number.

OR

<input checked="" type="checkbox"/> Firm or Individual Name	John Lucas, Ph.D., J.D.		
Address	Genset Corporation		
Address	10665 Sorrento Valley Road		
City	San Diego	State	CA
Country	USA		
Telephone	(858) 597-2600	Fax	(848) 597-2601

I am the:

Applicant/Inventor.

Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

Name	Severin Jobert
Signature	
Date	18/06/2001

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

*Total of _____ forms are submitted.

ASSIGNMENT

WHEREAS, We,

- 1) **JEAN-BAPTISTE DUMAS MILNE EDWARDS**, a French citizen,
residing at **8, rue Gregoire de Tours,
75006, Paris France**
- 2) **LYDIE BOUGUELERET**,
a French citizen,
residing at **22, Vieux Chemin d'Onex,
1213 Petit Lancy, Switzerland**,
- 3) **SEVERIN JOBERT**,
a French citizen,
residing at **7, impasse Tourneux,
75012 Paris, France**

have invented certain new and useful improvements in a **FULL-LENGTH cDNAs ENCODING POTENTIALLY SECRETED PROTEINS**; for which we have filed an application for Letters Patent in the United States:

Application Serial No.: 09/731,872

Filed: December 7, 2000

AND WHEREAS, **GENSET, S.A.** (hereinafter "ASSIGNEE"), a French Corporation, with its principal place of business at 24, rue Royale, 75008 Paris, France, desires to acquire the entire right, title, and interest in and to the said improvements and the said Application:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to me in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said inventors, do hereby acknowledge that we have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, the entire right, title, and interest throughout the world in, to and under the said improvements, and the said application and all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all rights of priority under International Conventions and applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and we hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

ASSIGNMENT

PATENT
Our Ref.: 78.US3.REG
Page 2

AND WE HEREBY covenant and agree that we will communicate to the said ASSIGNEE, successors, legal representatives and assigns, any facts known to us respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said improvements in all countries.

SIGNED this 12 day of August 2001.



JEAN-BAPTISTE DUMAS MILNE EDWARDS

Witnessed by: Name: Eglise Dunes
Please Print or Type Name

Signature: S. Dunes

SIGNED this 12 day of August 2001.

LYDIE BOUGUELERET

Witnessed by: Name: _____
Please Print or Type Name

Signature: _____

SIGNED this _____ day of _____ 2001.

SEVERIN JOBERT

Witnessed by: Name: _____
Please Print or Type Name

Signature: _____

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SIGNED this _____ day of _____ 2001.

JEAN-BAPTISTE DUMAS MILNE EDWARDS

Witnessed by: Name: _____ Signature: _____
Please Print or Type Name

SIGNED this 17 day of July 2001.

LYDIE BOUGUILLERET

Witnessed by: Name: ISABEL NALARESIA Signature: J. Nalaresia
Please Print or Type Name

SIGNED this _____ day of _____ 2001.

SEVERIN JOBERT

Witnessed by: Name: _____ Signature: _____
Please Print or Type Name

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SIGNED this _____ day of _____ 2001.

JEAN-BAPTISTE DUMAS MILNE EDWARDS

Witnessed by: Name: _____ Signature: _____
Please Print or Type Name

SIGNED this _____ day of _____ 2001.

LYDIE BOUGUELERET

Witnessed by: Name: _____ Signature: _____
Please Print or Type Name

SIGNED this 18 day of jun 2001.

SEVERIN JOBERT

Witnessed by: Name: Hélène SARRASECA Signature: _____
Please Print or Type Name